

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of
SLOAN et al.
Application No. 09/976,443
Filed: October 12, 2001
For: AUTOMATED COACHING FOR A FINANCIAL
MODELING AND COUNSELING SYSTEM

Attorney Docket: 60021-376002
Examiner: Unassigned
Group Art Unit: 2165

PATENT
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MAY 14 2002
Technology Center 2100
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, D.C. 20231 on April 19, 2002.

Signed: _____

Vicki Naso

RESUBMISSION OF THE RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
BOX: MISSING PARTS
Washington, DC 20231

Sir:

A supplemental response to the Notice of Missing to file Missing Parts was mailed January 29, 2002. However, the mailed response may inadvertently have included sheets of informal drawings with illegible figure identification labels (e.g. FIG. 14). The present resubmission is made corrected figure identification labels.

The resubmission of the response to the Notice of Missing to file Missing Parts includes the following attached documents:

1. 16 Sheets of informal drawings;
2. Copy of Notice of Missing to file Missing Parts; and
3. Please date stamp and return to us the enclosed postcard as confirmation of receipt of these papers.

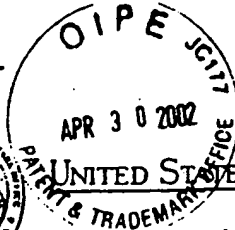
The Commissioner is authorized to charge any required fees that are due to our Deposit Account No. 02-3964 (Order No. 60021.376002). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,
OPPENHEIMER WOLFF & DONNELLY, LLP

Rambod Nader
Reg. No. 47,262

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,443	10/12/2001	Ronald E. Sloan	60021.376002

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CONFIRMATION NO. 2727

FORMALITIES LETTER



OC000000007138773

OPPENHEIMER WOLFF & DONNELLY, LLP
1400 Page Mill Road
Palo Alto, CA 94304

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

RECEIVED

MAY 14 2002

Filing Date Granted

Technology Center 2100

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Stephen B. Slutsky
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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